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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>04785/LH</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP03/07738</b>	INTERNATIONAL FILING DATE <b>18 JUNE 2003 (18.06.2003)</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/517152</b>
<b>TITLE OF INVENTION</b> <b>SAFETY DEVICE OF VIBRATION ROLLER WHEN MOVING BACKWARD</b>		
APPLICANT(S) FOR DO/EO/US <b>MOCHIGI, Hideki and ISHIKAWA, Tomio</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		

**Items 11 to 20 below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 CFR 1.76.
15.  A substitute specification.
16.  A power of attorney and/or change of address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18.  A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20.  Other items or information:

**PCT REQUEST (JAPANESE LANGUAGE); ISR;  
FORM PCT/IB/301;FORM PTO/SB/08A  
REQ. TO PUBL. ASSIGN INFO.**

**Express Mail Label No. EV 602255971 US****Date of Deposit: December 6, 2004**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

*N. Sahadeo*

Nalini P. Sahadeo

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/517152</b>	INTERNATIONAL APPLICATION NO. PCT/JP03/07738	ATTORNEY'S DOCKET NUMBER 04785/LH			
21. <input checked="" type="checkbox"/> The following fees are submitted:  <b>BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$750.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		CALCULATIONS PTO USE ONLY			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ .00			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$ .00	
Total claims	<b>5</b>	- 20 =	0	X \$18.00	
Independent claims	<b>1</b>	- 3 =	0	X \$88.00	
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+ \$300.00	\$ .00	
		<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 950.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.			\$ 475.00		
		<b>SUBTOTAL =</b>		\$ 475.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$ .00		
		<b>TOTAL NATIONAL FEE =</b>		\$ 475.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$ .00		
		<b>TOTAL FEES ENCLOSED =</b>		\$ 475.00	
		Amount to be refunded:	\$ .00		
		Amount to be charged:	\$ .00		
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<b>CUSTOMER NO. 01933</b>					
Leonard Holtz Frishauf, Holtz, Goodman & Chick P.C. 767 Third Avenue 25 <sup>th</sup> Floor New York NY 10017-2023					
 SIGNATURE <b>Leonard Holtz</b> NAME <b>22,974</b> REGISTRATION NUMBER					

10/517152

PTO/SB/122 (10-00)

 Please type a plus sign (+) inside this box → [+]

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<b>CHANGE OF CORRESPONDENCE ADDRESS <i>Application</i></b>  <b>Address to:</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	DT05 Rec'd PCT/PTO 06 DEC 2004
	Filing Date	Concurrently Herewith
	First Named Inventor	H. MOCHIGI
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	04785/LH

Please change the Correspondence Address for the above-identified application to:

Customer Number [ 01933 ] →  
*Type Customer Number here*

01933

<input checked="" type="checkbox"/> Firm or Individual Name	FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.				
Address	767 Third Avenue - 25th Floor				
Address					
City	New York	State	NY	ZIP	10017-2023
Country	U.S.A.				
Telephone	(212) 319-4900			Fax	(212) 319-5101

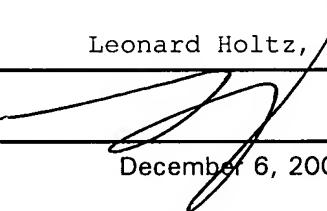
This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- Applicant/Inventor.  
 Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  
 Attorney or Agent of record.

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_.

Typed or Printed Name      Leonard Holtz, Registration No. 22,974

Signature      

Date      December 6, 2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

10/517152

DT05 Rec'd PCT/PTO 06 DEC 2004

Attorney Docket No. 04414/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): H. MOCHIGI ET AL

Serial No. : Nat'l Phase Appln. of  
PCT/JP03/07738

Filed : Herewith

For : SAFETY DEVICE OF VIBRATION ROLLER  
WHEN MOVING BACKWARD

Art Unit : Not Yet Assigned

Examiner : Not Yet Assigned

**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be published as part of the 18 month publication of the present application:

MIKASA SANGYO COL, LTD  
4-3, Sarugakicho 1-chome,  
Chiyoda-ku, Tokyo 101-0064  
Japan

Respectfully submitted,

LEONARD HOLZ  
Reg. No. 22,974

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767 Third Avenue - 25th Floor  
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(212) 319-4900  
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LH/nps

Express Mail Mailing Label  
No.: EV 602255971 US

Date of Deposit: December 6, 2004

I hereby certify the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231

N. Sahadeo

Nalini P. Sahadeo

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.